

# Compliance Calendar 2018

Important dates you should know in order to maintain a successful 401(k) plan



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## JANUARY

- 1** New Year's Day – Ubiquity is closed
- 10** Final payroll for 2017 must be posted for Ubiquity to begin year-end testing
- 15** Martin Luther King Jr. Day – Ubiquity is closed
- 31** 2017 - 1099 Forms mailed to participants who received a 2017 distribution

## FEBRUARY

- 19** President's Day – Ubiquity is closed
- 26** 2017 ADP/ACP results are available to sponsors who have submitted 2017 census

## MARCH

- 1** 2017 1099 Forms remitted to the IRS
- 15** Ubiquity sends 2017 corrective ADP refunds to highly compensated employees
- 15** S-Corp and Partnerships tax filing deadlines

## APRIL

- 16** \*Corporations and Sole-Proprietor tax filing deadlines
- 16** \*IRS Deadline to refund 402(g) excess employee contributions

\*April 15th falls on a weekend. All deadlines for this date will be April 16th.

## MAY

- 28** Memorial Day – Ubiquity is closed

## JUNE

No deadlines or due dates

## JULY

- 4** Independence Day – Ubiquity is closed
- 25** Deadline to request 2017 5500 filing extension from Ubiquity without charge
- 31** Form 5500 and 8955-SSA submissions due

## AUGUST

No deadlines or due dates

## SEPTEMBER

- 3** Labor Day – Ubiquity is closed
- 4** Deadline to submit mid-year ADP/ACP testing request to Ubiquity
- 17** Extended tax filing deadline: S-Corps and Partnerships
- 30** \*Deadline to provide 2017 Summary Annual Report (SAR) to employees if 2017 5500 was filed on or prior to 7/31/18

\*September 30th falls on a weekend. SARs will be posted Monday, October 1st.

## OCTOBER

- 1** Summary Annual Reports to be posted to the Ubiquity website
- 15** Extended 5500 and 8955-SSA forms submission deadline
- 15** Extended tax filing deadline: Corporations and Sole-Proprietors

## NOVEMBER

- 15** Final Day to add/remove/change 2019 Safe Harbor and Automatic Enrollment provisions
- 22-23** Thanksgiving holiday – Ubiquity is closed

## DECEMBER

- 3** 2019 Annual Safe Harbor notice and Automatic Enrollment notice deadline
- 15** Deadline to provide 2017 Summary Annual (SAR) to employees if 2017 5500 was filed after 7/31/18
- 24-25** Ubiquity is closed
- 31** Deadline to issue Required Minimum Distributions (age 70.5+ distribution)
- 31** Deadline to deposit QNEC for failed 2017 ADP/ACP testing