

Compliance Calendar 2019

Important dates you should know in order to maintain a successful 401(k) plan



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JANUARY

- 14** Final payroll for 2018 must be posted for Ubiquity to begin year-end testing
- 31** 2018 - 1099r Forms mailed to participants who received a 2018 distribution

1 New Year's Day - Ubiquity is closed

FEBRUARY

- 1-28** 2018 census verification for all non-Safe Harbor plans
2018 ADP/ACP results are available to sponsors who have submitted 2018 census

18 President's Day – Ubiquity is closed

MARCH

- 1** 2018 1099r Forms remitted to the IRS
- 15** Ubiquity sends 2018 corrective ADP refunds to highly compensated employees
Tax filing deadline: S-corporations and partnerships

APRIL

- 15** Corporations and Sole-Proprietor tax filing deadlines
IRS Deadline to refund 402(g) excess employee contributions
Deadline for first 2018 Required Minimum Distribution (Age 70.5 distribution)

MAY

27 Memorial Day - Ubiquity is closed

JUNE

- 30** Ubiquity sends 2018 corrective ADP refunds to highly compensated employees in plans with automatic enrollment

JULY

- 24** Deadline to request 2018 5500 filing extension from Ubiquity without charge
- 31** Form 5500 and 8955-SSA submissions due

4 Independence Day - Ubiquity is closed

AUGUST

SEPTEMBER

- 4** Deadline to submit mid-year ADP/ACP testing request to Ubiquity
- 16** Extended tax filing deadline: Partnerships + S-Corps
- 30** Deadline to provide 2018 Summary Annual Report (SAR) to employees if 2018 5500 was filed on or prior to 7/31/19

2 Labor Day - Ubiquity is closed

OCTOBER

- 1** Deadline to adopt Safe Harbor plan for 2019
- 15** Extended 5500 and 8955-SSA forms submission deadline
Extended tax filing deadline: Corporations and Sole-Proprietors

NOVEMBER

- 15** Final Day to add/remove/change 2020 Safe Harbor and Automatic Enrollment provisions

28-29 Thanksgiving - Ubiquity is closed

DECEMBER

- 1** 2020 Annual Safe Harbor notice and Automatic Enrollment notice deadline
Deadline to provide 2018 Summary Annual Report (SAR) to employees if 2018 5500 was filed after 7/31/19
- 31** Deadline to issue Required Minimum Distributions (age 70.5+ distribution)
Deadline to deposit QNEC for failed 2018 ADP/ACP testing
Deadline for 2018 Top Heavy Minimum Contribution

24-25 Ubiquity is closed